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1. OVERVIEW

Requesting Authority
The GMEI® utility is required to verify that users requesting registrations and renewals have the proper authority from the legal entity they represent.

Completing the LEI Authorization Form

1. Download the LEI authorization form from the GMEI portal.
2. Print the form and complete the required data on the form, either as a Primary Party (a representative of the Legal Entity or family of Entities) or as a third party (acting on behalf of the Legal Entity).
3. If you execute the authorization form for an entity at the top of a hierarchy, this entity being the Authorizing Legal Entity, it automatically covers all subsidiaries. Add all entities being registered or renewed to Exhibit A of the form.
4. Provide the contact information for the authorized person and sign the document.
5. Return the completed document Onboarding@gmeiutility.org, including the order number associated with your submission in the email.
6. Continue with your Registration and Renewal transaction, including payment processing and submission.

Necessity of Completing the Authorization Form
If DTCC cannot verify the authority of the individual who placed the order via the public domain, for example via business registries, we cannot process a Registration or Renewal without verifying this user’s authority. Please submit the authorization form and supporting documentation at the same time that you place your order.
2. EXAMPLE DOCUMENTS

DTCC provides sample completed forms for your reference.

- If you are registering or renewing an entity or entities within your company (such as subsidiaries or funds you manage) or you are registering or renewing a single entity, see the sample form (GMEI Authorization Form - John Doe, PLC.docx) on page 17.

- If you are a third-party company registering or renewing entities on behalf of your client, see the sample form (GMEI Authorization Form - Third Party Company Ltd.docx) on page 21.

For detailed guidance and instructions on completing the form, see Sections of the Form Explained on page 12.
3. COMPLETING THE FORM

This section describes the sections of the LEI Authorization Form.

**Authorized Signer**

Any individual with signing authority over the entity(s) listed on the form can sign the form. As a LOU, DTCC is required to verify that the individual executing the form has authority to do so, either via public authoritative sources or by the firm providing documentation to DTCC that demonstrates the individual’s signing authority.

**Authorized Person**

The Authorized Person is the individual who will be submitting Registration and Renewal transactions on the GMEI Utility portal. The individual listed as the authorized person on the form should have the same name as what is listed as the contact in the **Settings** section of the user’s profile on the GMEI portal. This is the individual being granted authority to act on behalf of the entity(s) by the individual executing the form.

**Authorized Group**

Complete the “Authorized Group” fields when authorizing all employees or contract workers that share the same email domain. For example, use this option to authorize all your firm's employees to submit LEI transactions on the firm’s GMEI utility portal.

**Note**

The email domain provided on the form must match the email domain of the user that submits the order on the GMEI utility portal.

**Authorized Company**

The Authorized Company is an unaffiliated company empowered to conduct LEI transactions on behalf of the assigning legal entity, its direct and indirect subsidiaries, and/or funds under management. Select when authorizing a third party to act on your behalf.

**Authorizing Legal Entity**

The “Authorizing Legal Entity” field specifies the legal entity authorizing the Authorized Person/Company to act on behalf of the Entities and LEIs listed under Exhibit A.
GMEI Utility Account Requirement for Authorized Company/Person

The Authorized Company/Person must have a GMEI account, as they will be responsible for renewing/registering the LEIs. The individual granting the authorization does not need an account.

Designating Multiple ‘Authorized’ Individuals

More than one person may be empowered to transact for an entity; however, authorization is only established for the individual submitting the Renewal/Registration at the time of the transaction.

Exhibit A Information Requirements

List all Legal Entities for which authority is being provided on Exhibit A. If Exhibit A does not provide enough space, attach your own Exhibit A to this Authorization. If you are submitting a Renewal request, ensure the Legal Entity Name and Legal Entity Identifier (LEI) fields are completed. If a Registration request is submitted, please complete the Legal Entity Name field.

Authorization Form Acceptance Criteria

Authorization forms may not be accepted for several reasons, including:

- A mismatch between the username/email domain placing the order and Authorized Person/Company listed on the form. Details in section 1 of the form must match the individual who placed the order.
- A mismatch between the email address/domain placing the order. The details must match the details of the individual who placed the order.
- Mismatched/Missing Legal Entity Name and Legal Entity Identifier (LEI) data. The Legal Entity name and Legal Entity Identifier you are Renewing must be present on the form, either in Exhibit A or listed as Authorizing Legal Entity. If Registering for an LEI, the Legal Entity name can be added to Exhibit A, with N/A entered into the LEI column.
- A missing signature or missing full name of the signer under the “Authorizing Legal Entity” heading on the signature page.
- Missing Authorizing Legal Entity. Incorrect document format. We can only accept .pdf files for the Authorization Form and supporting documents, or .JPG files for supporting documents.
- An altered version of the form was submitted. DTCC cannot accept any other version of the form other than the one it provides.

Notes

- Do not remove any sections of the form upon submission.
- Return the form in .pdf format.
Confirming Relationship Between Authorizing Legal Entity and Legal Entity/Entities in Exhibit A

Provide supporting information to confirm the relationship between the Authorizing Legal Entity and any Legal Entities listed in Exhibit A if:

- There is no visible relationship between the Authorizing Legal Entity and the entity or entities in Exhibit A; for example if the entities share part of the same name: if Joe Bloggs PLC were the Authorizing Legal Entity, and Joe Bloggs Limited was in Exhibit A, this would be a sufficient link;
- There is no relationship that can be found using sources in the public domain, between the Authorizing Legal Entity and the entity or entities in Exhibit A.

Inability to Ink Sign the Form

If you are not able to provide an ink-signed form, you can request a DocuSign envelope instead. To process a request for a DocuSign envelope, send an email to CustomerService@GMEIutility.org, and include the following details:

- **Subject**: Request for DocuSign – Legal Name – LEI number (Registration is not required to include LEI number)
- **Email Body**:
  - **Client Email Address**:
  - **Client Full Name**:

GMEI Customer Service will send the DocuSign form to the email address provided and will contact you to inform you that we have initiated the DocuSign envelope to enable you to provide electronic signatures.
4. SUPPORTING DOCUMENTATION

Supporting Documentation Requirements
If the validation team is unable to validate the signing authority of the individual that executed the form, they may need to reach out for documentation that demonstrates their signing authority. For a list of example documents, please see section: “Which document(s) can be used to confirm position and legal authorization?”.

Sources Eligible to Confirm Position and Legal Authorization
The following non-exhaustive list of sources are eligible to verify an individual’s authority:

- Company Website
- Annual Report
- Prospectus
- Financial Statement
- Offering Memorandum
- Articles of Incorporation
- Joint Venture Agreement
- Partnership Agreements
- Certificate of Incumbency
- Power of Attorney
- Regulatory Filing
- Corporate Resolution
- Secretary Certificate
- Business Registry

Sources Ineligible to Confirm Position and Legal Authorization
The following non-exhaustive list of sources are not eligible to verify an individual’s authority:

- Passport
- Birth Certificate
- Driver’s License
- Social Security Number

Acceptable Job Titles
Individuals with the following non-exhaustive list of job titles can sign the LEI Authorization form:

- CEO
- CFO
- CTO
- COO
- CIO
- President
- Vice President
- Treasurer
- Officer
- Director
- Company Secretary
- General Partner
- Managing Member
5. EXAMPLE DOCUMENTS

DTCC provides sample completed forms for your reference.

- If you are registering or renewing an entity or entities within your company (such as subsidiaries or funds you manage) or you are registering or renewing a single entity, see the sample form (GMEI Authorization Form - John Doe, PLC.docx) on page 17.

- If you are a third-party company registering or renewing entities on behalf of your client, see the sample form (GMEI Authorization Form - Third Party Company Ltd.docx) on page 21.

For detailed guidance and instructions on completing the form, see Sections of the Form Explained on page 12.
A. SECTIONS OF THE FORM EXPLAINED

This section describes the sections of the LEI Authorization Form.

Page 2 (Authorized Person/Group/Company)

- **Authorized Person(s):** Complete the Authorized Person(s) fields of the form with the name and details of the individual(s) who placed the order for this entity. You can authorize more than one person, but you must ensure that the name and email on the order for the entity/entities match.

- **Authorized Group:** Complete the Authorized Group fields to authorize any individual from the company. **Note:** The email domain provided on the form must match the email domain through which the order for the entity/entities was placed.

- **Authorized Company:** Complete the Authorized Company fields if a third-party company is placing the order for your entity or entities on your behalf. **Note:** The email domain provided on the form must match the email domain through which the order for the entity/entities was placed.

- **Authorizing Legal Entity:** Complete the Authorizing Legal Entity field to specify the legal entity that is authorizing the Authorized Person/Group/Company to act on behalf of the Entities and LEIs listed under Exhibit A. If you are renewing/registering one entity, you can enter this information as the Authorizing Legal Entity.

Page 3 (Signer & Authorizing Legal Entity)

**Signer details:**

- The individual in authority (in relation to the Authorizing Legal Entity) should complete, sign, and date this part of the form.

- Supporting documentation must be provided to prove the authority of the individual in relation to the Authorizing Legal Entity (for example: if the individual is the CEO of the Authorizing Legal Entity, DTCC would request a document such as a Certificate of Incumbency, showing the individual as CEO).
The Exhibit A section of the form enables listing all Entities and LEIs that the Authorizing Legal Entity is ultimately permitted to act on behalf of; you must complete this section for each entity for which you want to renew or register an LEI. The next figure illustrates this section.

To whom it may concern:

We [INSERT NAME OF AUTHORIZING LEGAL ENTITY] ("Authorizing Legal Entity") hereby authorize:

Authorizing Legal Entity

The Authorizing Legal Entity field contains the legal entity authorizing the Authorized Person/Group/Company to act on behalf of the Entities and LEIs listed under Exhibit A. If you are renewing/registering a single entity, you can enter this information as the Authorizing Legal Entity, as per the screenshot that follows:

Please check and complete ONE of the following authorizations

1) □ the following person ("Authorized Person") who is a representative of the Authorizing Legal Entity to apply for and/or manage Legal Entity Identifiers ("LEIs") on its behalf and to perform all duties required in connection therewith.

   Name(s) and Position(s) of Authorized Person(s): [COMPLETE] [1]

   Address of Authorized Person(s): [COMPLETE] [2]

   Telephone & Email of Authorized Person(s): [COMPLETE] [3]

   Description of document(s) confirming position and legal authorization [COMPLETE] [4]

Section 1 - Authorized Person(s)

Enter the name and details of the individual who placed the order for this entity in the Authorized Person(s) fields. You can authorize more than one person; however, note that the name and email on the order for the entity/entities must match the details of the individual who placed the order for this entity. The procedure that follows outlines this process:

1. Add the name and job title of each individual to which you want to grant authority to act on behalf of the entities in Exhibit A.

2. Add the addresses of the individual or individuals.

3. Add the telephone and email address of the individual or individuals.
4. Add the type of document proving authorization, for example a Certificate of Incumbency, as per the screenshot that follows:

   2) □ all employees and contract workers of the Authorizing Legal Entity with the email domain listed below ("Authorized Group") who are acting on behalf of the Authorizing Legal Entity to apply for and/or manage LEIs on its behalf and to perform all duties required in connection therewith.

   - Email Domain(s) of Authorized Group [COMPLETE]
   - Description of document(s) confirming legal authorization [COMPLETE]

Section 2 – Authorized Group

Complete the Authorized Group fields to authorize any individual from the company. Note: The email domain provided on the form must match the email domain through which the order for the entity/entities was placed. The procedures that follows outlines this process.

1. Add the email domain through which the order was placed.

2. Add the type of document proving authorization, for example a Certificate of Incumbency, as illustrated in the next figure.

   3) □ the following company ("Authorized Company") and/or persons acting on its behalf to apply for and/or manage LEIs on our behalf and to perform all duties required in connection therewith.

   - Name of Authorized Company [COMPLETE]
   - Address of Authorized Company [COMPLETE]
   - Telephone & Email of Authorized Company contact [COMPLETE]
   - Description of document(s) confirming legal authorization [COMPLETE]

Section 3 - Authorized Company

Complete the Authorized Company fields if a third-party company is placing the order for your entity or entities on your behalf. Note: The email domain provided on the form must match the email domain through which the order for the entity/entities was placed.

Specifying the third-party company:

The procedure that follows outlines this process for each field.

1. Add the name of the third-party company placing the order on your behalf.

2. Add the address of the third-party company placing the order on your behalf.

3. Add the telephone and email address of the third-party company placing the order on your behalf.
4. Add the type of document proving authorization, for example a Certificate of Incumbency.

   **Authorization Effective Date:**

   ____________________________

   **Authorizing Legal Entity:** [INSERT LEGAL ENTITY NAME]

   Signature: ____________________________
   Name: ____________________________
   Title: ____________________________
   Telephone & Email: ____________________________

   **Individual in Authority:**

   The individual in authority (in relation to the Authorizing Legal Entity) completes, signs, and dates this area of the form. Supporting documentation should also be provided to prove the authority of the individual in relation to the Authorizing Legal Entity. For example, if the individual is the CEO of the Authorizing Legal Entity, DTCC will request a document such as a Certificate of Incumbency, showing the individual as the CEO. The procedures that follows outlines this process.

   1. Add the date the authorization starts, for example, the date you execute the form.

   2. Add the Authorizing Legal Entity, as described above.

   3. Actions that the individual in authority (in relation to the Authorizing Legal Entity) performs by hand:
   - sign this section
   - enter their full name
   - add their job title (see page 10 for examples of acceptable job titles)
   - add their telephone number
Exhibit A

Authorizing Legal Entity’s Group of Companies

<table>
<thead>
<tr>
<th>Legal Entity Name</th>
<th>Legal Entity Identifier (if applicable)</th>
</tr>
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<tbody>
<tr>
<td>[COMPLETE]</td>
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*Additional pages may be attached as required*

The Exhibit A section of the form is provided to list all of the Entities and LEIs that the Authorizing Legal Entity is ultimately permitted to act on behalf of. You must complete this section for each entity for which you want to renew or register an LEI. If registering an entity for a new LEI or if renewing an existing LEI, remember to perform a duplicate check as per page 9 of GMEI Portal User’s Guide.

The procedure that follows describes the instructions for each field.

1. Add the name of the entity you want to renew/register.
2. Add the LEI of the entity you want to renew. If registering an entity for an LEI for the first time, add Registration - N/A.
B. SAMPLE FORM: REGISTERING OR RENEWING ENTITY(IES) WITHIN YOUR COMPANY

This sample form that follows shows an example authorization for registering or renewing an entity or entities within your company (such as subsidiaries or funds you manage) or for registering or renewing a single entity. The name of the template form is GMEI Authorization Form - John Doe PLC.docx.

GMEI Utility LEI Authorization Form Instructions

Background
As of September 1, 2019, the Global Legal Entity Identified Foundation, will require any person charged with registering or maintaining a Legal Entity Identifier (LEI) to furnish evidence of their authorization do so on an entity’s behalf.

Definitions

1. Authorized Person(s) – A person(s) charged with registering and/or renewing the LEI transactions on the GMEI Utility. The details of the named person(s) on this Authorization Form should match the Contact information on the “My Settings” page after logging in on gmeiutility.org.

2. Authorized Company – An unaffiliated company empowered to conduct LEI transactions on behalf of the assigning legal entity, its direct and indirect subsidiaries, and/or funds under management.

3. Authorizing Legal Entity – The entity permitting the Authorized Person or Authorized Company to register and/or maintain LEIs on its behalf. - The individual executing this Authorization Form should be empowered to provide such authorization on behalf of the Authorizing Legal Entity (i.e., has authority to legally bind the entity). Signing authority varies by organization, but may include CEO, CFO, CIo, President, Vice-President, Secretary, other appointed officers or other individuals authorized by an entity’s Board of Directors or management. If unclear it is advised that users speak with your firm’s Legal department.

4. Authorized Group – Persons designated by the Authorizing Legal Entity that are charged with the Registration and/or Renewal of an LEI on behalf of such entity. These persons are identified via their email domain and would include any subset of employees and contractors that have the same email domain(s) that is designated on the form by the authorized signer.

Completing the Form

1. Complete all required fields under either Section 1- Authorized Person, Section 2 – Authorized Group or Section 3 – Authorized Company.
   a. If multiple persons within the family of Authorizing Legal Entity is so empowered, please list each individual under "Name(s) and Position(s) of Authorized Person(s)“ box.
   b. If space does not permit, an attachment may accompany the Authorization Form with the name of the attachment (i.e. Attachment 1) listed in the “Name(s) and Position(s) of Authorized Person(s)“ field.

2. Use Exhibit A to list all legal entities for which authority is being provided. If Exhibit A does not provide enough space, please attach your own Exhibit A to this authorization.
3. E-mail the completed Authorization Form to Onboarding@gmeiutility.org
   - Completed Form should be in PDF or .JPG format
   - Include supporting documentation demonstrating authority of the person signing the Authorization Form on behalf of the Authorizing Legal Entities
   - Include the GMEI Order Number, LEI, or Legal Entity Name in the subject line if applicable

4. Please note that the Onboarding@gmeiutility.org email address is to be used only for the submission of documentation to establish relevant authority. Any other questions about payment or services should be sent to CustomerService@gmeiutility.org. Forms will not be reviewed until payment is received.

5. Incomplete forms will NOT be processed and will delay the completion of the LEI request.

**Supporting Documentation**

The following documents are examples of sources that may contain relevant information used to demonstrate an individual’s authority: Regulatory Filing, Corporate Resolution, Secretary Certificate, Business Registry, Signing Authority Document, Certificate of Incumbency, Power of Attorney, Articles of Incorporation, Joint Venture Agreement, Partnership Agreement, Annual Report.

To whom it may concern:

We John Doe, PLC (“Authorizing Legal Entity”) hereby authorize:

Please check and complete ONE of the following authorizations

1. ☐ the following person (“Authorized Person”) who is a representative of the Authorizing Legal Entity to apply for and/or manage Legal Entity Identifiers (“LEIs”) on its behalf and to perform all duties required in connection therewith.

   Name(s) and Position(s) of Authorized Person(s): John Doe, PLC
   Address of Authorized Person(s): [COMPLETE]
   Telephone & Email of Authorized Person(s): Email: johndoeplc.com
   Description of document(s) confirming position and legal authorization: [COMPLETE]

2. ☐ all employees and contract workers of the Authorizing Legal Entity with the email domain listed below (“Authorized Group”) who are acting on behalf of the Authorizing Legal Entity to apply for and/or manage LEIs on its behalf and to perform all duties required in connection therewith.

   Email Domain(s) of Authorized Group: @johndoeplc.com
   Description of document(s) confirming legal authorization: [COMPLETE]
3. ☐ the following company ("Authorized Company") and/or persons acting on its behalf to apply for and/or manage LEIs on our behalf and to perform all duties required in connection therewith.

   Name of Authorized Company: [COMPLETE]
   Address of Authorized Company: [COMPLETE]
   Telephone & Email of Authorized Company contact: [COMPLETE]
   Description of document(s) confirming legal authorization: [COMPLETE]

This authorization also applies to all of our direct and indirect subsidiaries and the fund assets under their management and may be revoked by the Authorizing Legal Entity upon written notice to Business Entity Data B.V. at any time.

We, the undersigned certify that we are authorized to grant to the above Authorized Person or Authorized Company permission to apply for and manage our LEIs as described above and acknowledge that Business Entity Data B.V. may require written evidence of such authorization upon request.

In addition, all Authorized Persons designated on this form have been informed that their personal business contact information has been provided to Business Entity Data B.V. for purposes in connection with this authorization and that such information may be used by Business Entity Data B.V. (A) to provision our services; (B) to send transactional communications such as LEI Renewal reminders or confirmation of Renewals; (C) as required to satisfy legal and regulatory obligations; and (D) as permitted under GMEI Utility privacy policy. Business Entity Data B.V. may transfer business contact information to its parent company who may store, process, and/or transfer business contact information to countries outside of the Authorized Person’s home country and which may have data protection standards different than those of the origin country.

Authorization Effective Date: ________________________________

**AUTHORIZING LEGAL ENTITY**: John Doe, PLC

Signature: ________________________________

Name: ________________________________

Title: ________________________________

Telephone & Email: ________________________________
Exhibit A

Authorizing Legal Entity’s Group of Companies

<table>
<thead>
<tr>
<th>Legal Entity Name</th>
<th>Legal Entity Identifier (if applicable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>John Doe Limited</td>
<td>This entity’s LEI</td>
</tr>
<tr>
<td>John Doe LLC</td>
<td>This entity’s LEI</td>
</tr>
<tr>
<td>John Doe ApS</td>
<td>This entity’s LEI</td>
</tr>
<tr>
<td>John Doe Pty Limited</td>
<td>Registration – N/A</td>
</tr>
</tbody>
</table>

*Additional pages may be attached as required*
C. SAMPLE FORM: THIRD PARTY COMPANY

This section contains a sample form for a third-party company registering or renewing entities on behalf of your client.

GMEI Utility LEI Authorization Form Instructions

Background

As of September 1, 2019, the Global Legal Entity Identified Foundation, will require any person charged with registering or maintaining a Legal Entity Identifier (LEI) to furnish evidence of their authorization do to so on an entity’s behalf.

Definitions

1. Authorized Person(s) – A person(s) charged with registering and/or renewing the LEI transactions on the GMEI Utility. The details of the named person(s) on this Authorization Form should match the Contact information on the “My Settings” page after logging in on gmeiutility.org.

2. Authorized Company – An unaffiliated company empowered to conduct LEI transactions on behalf of the assigning legal entity, its direct and indirect subsidiaries, and/or funds under management.

3. Authorizing Legal Entity – The entity permitting the Authorized Person or Authorized Company to register and/or maintain LEIs on its behalf. - The individual executing this Authorization Form should be empowered to provide such authorization on behalf of the Authorizing Legal Entity (i.e., has authority to legally bind the entity). Signing authority varies by organization, but may include CEO, CFO, CIO, President, Vice-President, Secretary, other appointed officers or other individuals authorized by an entity’s Board of Directors or management. If unclear it is advised that users speak with your firm’s Legal department.

4. Authorized Group – Persons designated by the Authorizing Legal Entity that are charged with the Registration and/or Renewal of an LEI on behalf of such entity. These persons are identified via their email domain and would include any subset of employees and contractors that have the same email domain(s) that is designated on the form by the authorized signer.

Completing the Form

1. Complete all required fields under either Section 1- Authorized Person, Section 2 – Authorized Group or Section 3 – Authorized Company.
   a. If multiple persons within the family of Authorizing Legal Entity is so empowered, please list each individual under "Name(s) and Position(s) of Authorized Person(s)" box.
   b. If space does not permit, an attachment may accompany the Authorization Form with the name of the attachment (i.e. Attachment 1) listed in the "Name(s) and Position(s) of Authorized Person(s)" field.

2. Use Exhibit A to list all legal entities for which authority is being provided. If Exhibit A does not provide enough space, please attach your own Exhibit A to this authorization.
3. E-mail the completed Authorization Form to Onboarding@gmeutility.org
   a. Completed Form should be in PDF or .JPG format
   b. Include supporting documentation demonstrating authority of the person signing the Authorization Form on behalf of the Authorizing Legal Entities
   c. Include the GMEI Order Number, LEI, or Legal Entity Name in the subject line if applicable

4. Please note that the Onboarding@gmeutility.org email address is to be used only for the submission of documentation to establish relevant authority. Any other questions about payment or services should be sent to CustomerService@gmeutility.org. Forms will not be reviewed until payment is received.

5. Incomplete forms will NOT be processed and will delay the completion of the LEI request.

Supporting Documentation

The following documents are examples of sources that may contain relevant information used to demonstrate an individual’s authority: Regulatory Filing, Corporate Resolution, Secretary Certificate, Business Registry, Signing Authority Document, Certificate of Incumbency, Power of Attorney, Articles of Incorporation, Joint Venture Agreement, Partnership Agreement, Annual Report.

To whom it may concern:

We John Doe, PLC ("Authorizing Legal Entity") hereby authorize:

Please check and complete ONE of the following authorizations

1. □ the following person ("Authorized Person") who is a representative of the Authorizing Legal Entity to apply for and/or manage Legal Entity Identifiers ("LEIs") on its behalf and to perform all duties required in connection therewith.
   Name(s) and Position(s) of Authorized Person(s): [COMPLETE]
   Address of Authorized Person(s): [COMPLETE]
   Telephone & Email of Authorized Person(s): [COMPLETE]
   Description of document(s) confirming position and legal authorization: [COMPLETE]

2. □ all employees and contract workers of the Authorizing Legal Entity with the email domain listed below ("Authorized Group") who are acting on behalf of the Authorizing Legal Entity to apply for and/or manage LEIs on its behalf and to perform all duties required in connection therewith.
   Email Domain(s) of Authorized Group: [COMPLETE]
   Description of document(s) confirming legal authorization: [COMPLETE]

3. □ the following company ("Authorized Company") and/or persons acting on its behalf to apply for and/or manage LEIs on our behalf and to perform all duties required in connection therewith.
   Name of Authorized Company: Third Party Company Ltd
   Address of Authorized Company: [COMPLETE]
   Telephone & Email of Authorized Company contact: leiregistrations@thirdpartycompany.com
   Description of document(s) confirming legal authorization: [COMPLETE]
This authorization also applies to all of our direct and indirect subsidiaries and the fund assets under their management and may be revoked by the Authorizing Legal Entity upon written notice to Business Entity Data B.V. at any time.

We, the undersigned certify that we are authorized to grant to the above Authorized Person or Authorized Company permission to apply for and manage our LEIs as described above and acknowledge that Business Entity Data B.V. may require written evidence of such authorization upon request.

In addition, all Authorized Persons designated on this form have been informed that their personal business contact information has been provided to Business Entity Data B.V. for purposes in connection with this authorization and that such information may be used by Business Entity Data B.V. (A) to provision our services; (B) to send transactional communications such as LEI Renewal reminders or confirmation of Renewals; (C) as required to satisfy legal and regulatory obligations; and (D) as permitted under GMEI Utility privacy policy. Business Entity Data B.V. may transfer business contact information to its parent company who may store, process, and/or transfer business contact information to countries outside of the Authorized Person’s home country and which may have data protection standards different than those of the origin country.

**Authorization Effective Date:**

**AUTHORIZING LEGAL ENTITY:** John Doe, PLC

**Signature:**

**Name:**

**Title:**

**Telephone & Email:**

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**Exhibit A**

**Authorizing Legal Entity’s Group of Companies**

<table>
<thead>
<tr>
<th>Legal Entity Name</th>
<th>Legal Entity Identifier (if applicable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>John Doe Limited</td>
<td>This entity’s LEI</td>
</tr>
<tr>
<td>John Doe LLC</td>
<td>This entity’s LEI</td>
</tr>
<tr>
<td>John Doe ApS</td>
<td>This entity’s LEI</td>
</tr>
</tbody>
</table>

*Additional pages may be attached as required*
FOR MORE INFORMATION

Email DTCC Learning at:

DTCCLearning@dtcc.com

or visit us on the web at:

www.dtcclearning.com